## RESEARCH

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# The association of FKBP5 gene polymorphism with genetic susceptibility to depression and response to antidepressant treatmenta systematic review

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## Abstract

**Background** Given the inconsistencies in current studies regarding the impact of FKBP5 gene polymorphisms on depression, arising from variations in study methods, subjects, and treatment strategies, this paper provides a comprehensive review of the relationship between FKBP5 gene polymorphisms and genetic susceptibility to depression, as well as their influence on response to antidepressant treatment.

**Methods** Electronic databases were searched up to April 11, 2023, for all literature in English and Chinese on depression, FKBP5 gene polymorphisms, and antidepressant treatment. Data extraction and quality assessment were performed for key study characteristics. Qualitative methods were used to synthesize the study results.

**Results** A total of 21 studies were included, with the majority exhibiting average to moderate quality. Six SNPs (rs3800373, rs1360780, rs9470080, rs4713916, rs9296158, rs9394309) were broadly implicated in susceptibility to depression, while rs1360780 and rs3800373 were linked to antidepressant treatment sensitivity. Additionally, rs1360780 was associated with adverse reactions to antidepressant drug treatment. However, these associations were largely unconfirmed in replication studies.

**Conclusions** Depression is recognized as a polygenic genetic disorder, with multiple genes contributing, each exerting relatively small effects. Future studies should explore not only multiple gene interactions but also epigenetic changes. Presently, research on FKBP5 in affective disorders remains notably limited, highlighting the necessity for further investigations in this domain.

Keywords Depression, FKBP5, Polymorphism, Genetic susceptibility, Antidepressant response

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## Introduction

Depression is a widespread disorder affecting an increasing number of individuals globally, emerging as a significant global health concern. It currently stands as the third leading cause of morbidity in terms of disability-adjusted life years and is projected to become the primary cause by 2030 [1-3]. The clinical manifestation and development of this disorder are influenced by various factors, encompassing genetic, biological, psychosocial, and environmental dimensions [4-7]. Research has found that depression has significant genetic components, and genes play an important role in its onset. Multiple studies have shown that individuals with a family history of depression have a significantly increased risk of developing the condition [8]. Approximately 40% of the risk associated with developing depression is attributed to genetic variants [8]. The twin study estimated that the heritability of depression is about 37%, but when considering factors such as severity, recurrence, and age of onset, this number increases to 70% [9]. Genetic and epigenetic factors play a role in the progression and treatment of depression, as evidenced by numerous studies in this field [10-12]. There are significant differences in individual responses to antidepressant drugs, some of which can be attributed to genetic factors. Research has demonstrated that genetic variations can affect the absorption, distribution, metabolism, and target sensitivity of antidepressants [13]. The initial response rate to antidepressant treatment is approximately 50%, with a subsequent depression remission rate of around 37% [14]. The response of patients to antidepressants is viewed as a polygenic trait, with common genetic variants contributing to more than 40% of the variability in response [15]. Inter-patient variations in response to and efficacy of antidepressant medication can be ascribed to a complex interplay of environmental, physiological, and psychological factors, along with comorbidities and genetic variants. Moreover, contemporary psychotropic medications (encompassing antidepressants, antipsychotics, and mood stabilizers) may operate, at least in part, by inducing epigenetic changes [16].

FKBP5, located on chromosome 6 (OMIM/location: 6p21.3-21.2, full gene length 154,999 bp), is a proteincoding gene characterized by multiple single nucleotide polymorphisms (SNPs) [17]. Functionally, FKBP5 predominantly regulates the glucocorticoid receptor (GR) via two key mechanisms: hormone binding and nuclear translocation. Notably, overexpression of FKBP5 results in reduced GR nuclear transcription and hormone levels [18]. Acting as a co-chaperone alongside heat shock protein 90 (Hsp90), FKBP5 modulates the sensitivity of the GR. Its interaction with the receptor complex leads to reduced cortisol binding and less efficient nuclear translocation of the receptor. Activation of GR induces FKBP5 mRNA and protein expression through intronic hormone response elements, establishing an ultrashort feedback loop that influences GR sensitivity. In the maturation process of the GR complex, FKBP5 binds to Hsp90 through a tetratricopeptide repeat protein (TPR) domain, functioning as a docking station for various co-chaperonins. In this conformation, the receptor complex exhibits reduced resistance to cortisol. Following hormone binding, FKBP5 is exchanged with another TPR-containing immunophilin, FKBP4. The recruitment of dynamin by FKBP4 facilitates the complex's nuclear translocation and subsequent transcriptional activity [18]. Polymorphisms in the FKBP5 gene are associated with intracellular FKBP5 protein expression, influencing GR alterations and modulating the hypothalamic-pituitary-adrenal (HPA) axis dynamics [19]. Dysfunction of the HPA axis is implicated in the pathogenesis of depression and may significantly impact the response to pharmacotherapy [20]. Research indicates that 50–70% of individuals with depression exhibit dysregulation of the HPA axis [21-23]. Certain individuals with Major Depressive Disorder (MDD) display persistent elevation in HPA activity, with multiple studies reporting abnormalities in cortisol suppression [24]. In chronic MDD (symptoms lasting longer than two years), HPA reactivity does not seem to be significantly affected. Research indicates a direct proportionality between the severity of depressive symptoms and cortisol levels. Additionally, the cortisol response in patients with atypical MDD closely resembles that observed in healthy controls [25]. Hence, FKBP5 has emerged as a focal point in the field of depression genetics research.

Determining the impact of polymorphisms in the FKBP5 gene on genetic susceptibility to depression and their influence on the response to antidepressant medication could enable the prediction of treatment effectiveness and medication side effects for a patient prior to initiating treatment. Yet, the present studies exhibit variations in their findings attributed to differences in methodologies, study populations, clinical phenotypes, and treatment strategies. This review aims to address three key questions: firstly, which FKBP5 gene polymorphisms differentiate depressed patients from healthy populations before treatment initiation; secondly, which FKBP5 gene polymorphisms predict treatment response to antidepressants before treatment initiation; and thirdly, which FKBP5 gene polymorphisms prospectively evaluate adverse effects following antidepressant treatment.

## **Materials and methods**

We adhered to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines for reporting this meta-analytic review. A study protocol with the registration number CRD42024502944 was submitted to PROSPERO (International Prospective Register of Systematic Reviews) before conducting the final analysis for this review.

## Search strategy

For a comprehensive and systematic literature review, both computerized and manual searches were employed to identify relevant studies. Initially, an extensive search was carried out across eight databases, namely PubMed, EMBASE, CBM, CNKI, Wan Fang, VIP, Web of Science, and the Cochrane Library. The literature search involved various combinations of terms such as "affective," "depression," "mood," "FKBP5," along with "antidepressant," "tricyclic," "SSRI," and "SNRI." This search encompassed articles available up to April 11, 2023. Furthermore, manual searches were conducted on the reference lists of the chosen articles and relevant review articles on the subject.

## **Eligibility criteria**

Inclusion criteria: (1) the study involved patients with confirmed depression; (2) it analyzed the relationship between FKBP5 gene polymorphisms and susceptibility to depression or response to antidepressant treatment; (3) the article was published in peer-reviewed English or Chinese journals with full-text availability; (4) it explored genetic variants in human biological specimens. Exclusion criteria encompassed reviews, systematic reviews, commentaries, animal studies, books, or any published material not classified as original research. Extracted information included authors, year of publication, location/ethnicity, study design, sample size, type of depression, type of antidepressant, SNPs, and analysis results.

### Study selection

Initially, 280 articles were identified through the screening process. A manual examination of the references of these articles uncovered two additional studies, resulting in a total of 196 articles after eliminating duplicate studies and data. Following the exclusion of reviews, systematic evaluations, commentaries, and animal experiments, the count reduced to 90 articles. Upon reviewing titles and abstracts, 61 articles that did not meet the inclusion criteria were excluded, leaving a total of 29 articles. Following a thorough examination of the full text, an additional eight articles failing to meet the inclusion criteria were excluded. Subsequently, 21 studies were ultimately selected for a comprehensive review and quality assessment. The literature screening process is shown in Fig. 1.

## Characteristics of the included studies

The included studies encompassed diverse ethnicities, settings, and employed varying diagnostic criteria and

assessment methods. Table 1 presents the fundamental characteristics of these studies.

## Assessment of study quality

The included studies exhibited considerable heterogeneity across various characteristics, including study subjects, methods, SNPs, diagnostic criteria, assessment scales, type and dose of antidepressants, and the definition of treatment response. Due to this high heterogeneity, the use of Meta-analysis for all included studies in this review was precluded. Consequently, this paper adopts a qualitative approach to summarize the relationship between FKBP5 gene polymorphisms and genetic susceptibility to depression and antidepressant treatment response.

Tables 2 and 3 display the quality assessment of the 21 included studies. 19 case-control and cohort studies, along with inconveniently classified studies, were assessed for quality using the NOS scale. Two RCT studies underwent quality evaluation using the modified Jadad scale. None of the studies fulfilled all quality criteria, with the majority being classified as moderate quality.

## Statistical analysis

Data Analysis The data were analyzed using Review Manager 5.4.1 software. Individual and pooled odds ratios (OR) with their associated 95% confidence intervals (CIs) were calculated. Significance of the pooled effect size was determined through a Z test. Heterogeneity across studies was assessed using the  $\chi^2$ -test of fit and I<sup>2</sup> measure. Outcome Measure Response, defined as  $a \ge 50\%$  decrease in HAMD or QIDS, was utilized as the primary outcome measure. Data Extraction and Subgroup Analysis Six studies addressing treatment response provided raw data. Initially, data were pooled for analysis across all races, followed by separate analyses for Caucasians and Asians, considering the distinct distribution of genotype frequencies across racial groups. Sensitivity analyses were conducted to mitigate the potential impact of individual studies on the final results. Assessment of Publication Bias Publication bias was evaluated through the generation of funnel plots.

## Results

The original studies within these 21 literature sources investigated 384 SNPs in the FKBP5 gene. 17 of these SNPs explicitly presented study outcomes (rs1043805, rs1334894, rs1360780, rs2766537, rs2817035, rs3800373, rs4713916, rs6902321, rs737054, rs755658, rs7748266, rs7757037, rs9296157, rs9296158, rs9368882, rs9394309, rs9470080), with a predominant focus on three SNPs (rs3800373, rs1360780, rs4713916). The publication dates of these articles span from 2004 to 2021, predominantly conducted in European countries, involving individuals

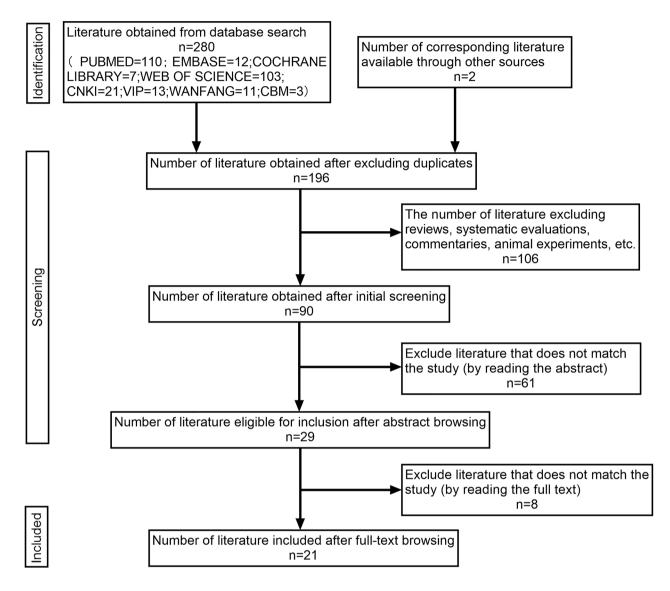


Fig. 1 Flow diagram showing the search, article selection, and extraction process

of Caucasian or European descent, and employing casecontrol or cohort study designs. Among the included studies, 12 articles investigated the association between FKBP5 gene polymorphisms and genetic susceptibility to depression. Additionally, 17 articles explored the association between FKBP5 gene polymorphisms and sensitivity to antidepressant treatment, while four articles focused on the association between FKBP5 gene polymorphisms and adverse effects induced by antidepressant treatment.

## FKBP5 gene polymorphism and genetic susceptibility to depression

The findings revealed associations between six SNPs (rs3800373, rs1360780, rs9470080, rs4713916, rs9296158, rs9394309) and genetic susceptibility to depression [26–28]. Additionally, depressed patients exhibited higher

FKBP5 mRNA expression levels compared to healthy controls [29]. Nevertheless, the alleles and genotypes linked to genetic susceptibility to depression exhibited inconsistencies across studies. FKBP5 gene polymorphisms demonstrated an association with susceptibility to depression in studies conducted in Poland, Italy, Germany, the United States, and certain European countries [26, 27, 30, 31]. In contrast, FKBP5 gene polymorphisms did not exhibit an association with susceptibility to depression in some studies from Germany, Denmark, China, and studies involving black subjects [17, 30, 32–37].

First author	Year	Country	Study design	Research Subjects	Ethnic	Sample Size	Gender (M/F)	Age, years (range, mean)	Sample resource	Diagnostic criteria
Yang dong	2013	China	Case-control study	MDD	Han	263	Response 89/92 Non-response 29/18	31 ± 11 31 ± 10	Outpatient	DSM-IV
Yu yan	2012	China	Case-control study	Depression	Han	254	126/128	31±11	Outpatient	DSM-IV
Binder, E. B.	2004	Germany	Case-control study	Unipolar depression BD	Caucasians	Original sample 294	122/172	47.65 ± 14.5	Inpatient	DSM-IV
				PDD		replication sample 85	29/56	$50.5 \pm 12.48$		
Brent, D.	2010	United States	cohort study	MDD for adolescents	European origin	155	46/109	15.7±1.6		DSM-IV
Cattaneo, A.	2013	Europe	Open, partially ran- domized, multicenter pharmacogenetics study	Moderate-to-severe unipo- lar depression	White Europeans	74	31/43	38.3 ± 10.9	Outpatient	ICD-10 DSM-IV
Dam, H.	2019	Denmark	Case-control study	Unipolar depression	85% Danish	718	227/491	I	Danish Psychiatric Biobank	ICD-10
Ellsworth, K. A.	2013	United States	Case-control study	Depression	Mayo: 337 Non- Hispanic White、96 African Ameri- cans、96 Han Chinese Americans	529			Mayo PGRN-AMPS	N-WSD
					STAR*D replication sample: Non- Hispanic White	960			STAR*D	
Fabbri, C.	2018	Europe	Open multicenter	ES1: MDD	345 Caucasians	357	103/254	51.25 ± 14.51	Inpatient、Outpatient	DSM-IV
			study	ES2: Moderate-to-severe depression	208 Caucasians	218	72/146	47±12.56		
				ITAS: Non-psychotic MDD	I	96	32/64	57.34 ± 15.97	Outpatient	
				STAR*D replication sample response: -	1	1409	565/844	42.94 ± 13.49	STAR*D	
				STAR*D replication sample remission: -		620	264/356	<b>4</b> 3.11 ± 12.95		
Gawlik M	2006	Germany	Case-control study	Recurrent depression	Caucasians	57	32/25	48.1	I	ICD-10
NA CO	0100	, according to	Notionication Openan	BU Modernto to reviero depres		191	1 22/ 69 Doctoon co 06 /77	0 1 1 1 0 01		
13111G, IMI.	۶ 107	פפווופווא	Naturalistic Open Label Longitudinal Treatment Study	Mouerate-to-severe depres- sive disorder	ı	167	Non-response 61/63	40.0±14.0 47.0±13.4	IIIpaueiit	2
Kirch- hainar I	2008	Germany	cohort study	Unipolar depression	Caucasians	179	61/118	45.92 ± 11.73	Inpatient	ICD-10

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		464								
First author	Year	Country	Study design	Research Subjects	Ethnic	Sample Size	Gender (M/F)	Age, years (range, mean)	Sample resource	Diagnostic criteria
Lekman, M.	2008	United States	Case-control study	Non-psychotic MDD	1256 Non-Hispanic White 267 Black	1523(1809 for genetic analysis)	1	1	STAR*D outpatient sample	NI-MSD
Nobile, B.	2020	France	Prospective cohort study	MDD	Caucasians	496	192/304	48.05 ± 14.75	Outpatient	DSM-IV
Papiol, S.	2007	Spain	Prospective cohort study	Depression	Spanish	159	35/124	39.5±12.2	Outpatient	DSM-IV
Perroud, N.	2011	Switzerland	Partially randomized study	Moderate-to-severe depres- sive disorder	95 European origin	131	53/78	36.65 ± 10.69	Outpatient	ICD-10 DSM-IV
Sarginson, J. E.	2010	United States	RCT	MDD in the elderly	226 Caucasians	246	Paroxetine 57/65 mirtazapine 63/61	72.25 ±5.13 71.91 ±5.67	Outpatient	DSM-IV
Stamm, T. J.	2016	Germany	RCT	MDD	Central European origin	298	111/187	44.35 ± 12.04	Inpatient	DSM-IV
Szcz- epankie- wicz, A.	2014	Poland	Case-control study	BD MDD	Polish	528 218	221/307 50/168	44.36 ±13.9 45.5 ± 14.0	Inpatient	DSM-IV
Tsai, S. J.	2007	China	Prospective cohort study	MDD PDD	Ethnic Chinese	125	56/69	42.1 ± 16.2	Outpatient	DSM-IV
Yang, C.	2021	China	Case-control study	Depression	Han	181	TRDI:47/34 MDNTR:24/76	46.0±12.7 42.8±10.2	Inpatient、Outpatient	DSM-IV
Zobel, A.	2010	Germany	Case-control study	Recurrent unipolar depression	Caucasians	268	98/170	48.92 ± 14.0	Inpatient	DSM-IV
First author	As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results		1	
Yang dong	TESS	escitalopram Paroxetine Venlafaxine Duloxetine	rs3800373 rs1360780 rs1360780	PCR-LDR	Response: HAMD score reduction rate < 50% No response: HAMD score reduc- tion rate > 50%	Week 6	rs3800373 、rs1360780 unable to predict treatment response to antidepressants		I	
Yu yan	HAMA BPRS		rs1360373 rs1360780	PCR-LDR			Lack of asso- ciation between rs3800373 、rs1360780 and susceptibility to depression		1	

Table 1 (continued)

Table 1 (continued)	(continu	led)					
First author	As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
Binder, E. B.		SSRIs TCAs mirtazapine Reboxetine	rs3800373 rs1360780 rs4713916	MALDI-TOF-MS Real-time PCR	Response: a decrease > 50% in the HAMD score at week 5 Remission: HAMD ≤ 10	Week 2、5	rs1360780 TT genotype responded faster and better to antidepres- sants, rs3800373 CC genotype responded better to treatment than other geno- type carriers, and there was no difference in the frequency of individual SNPs or haplotypes between de- pressed patients
Brent, D.	CDRS CGI C-SSRS	CDRS Fluoxetine CGI Paroxetine C-SSRS citalopram Venlafaxine	rs1360780 rs3800373	fluorescence polarization method	Response: a decrease > 50% in the CDRS score and a score < 40 at week 12	Week 6、12	and healthy controls rs1360780 TT and rs3800373 GG genotypes were associated with higher and earlier occurrence of suicidal events, and there was no significant relationship be- tween genotype and treatment response

First As- AD author sess- ment Scale Cattaneo, escitald A. BDI Nortrip					
ttaneo, BDI	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
	escitalopram - Nortriptyline	Real-time PCR	Response: a reduction in MADRS > 50% at week 12	Week 8, 12	Successful antidepres- sant response is associated with reduced levels of FKBP5, a gene that does not predict response to antidepressants
Dam, H.	rs1360780	Real-time PCR TaqMan	,		rs1360780 is not associated with susceptibility to depression and the CC genotype has a higher family history of depression
K.A. QIDS- citalopram K.A. 16 HAMD escitalopram	opram 384 A SNPs	Sanger Illumina/Affymetrix TaqMan	Response: ≥ 50% reduction in QIDS score Remission: a QIDS score of ≤ 5 at the last visit	Mayo: Week 8 STAR*D: Week 6	rs1360780 、 rs3800373 and rs4713916 were not associated with SSRI treat- ment outcomes in MDD, and rs352428 was associated with 8-week treat- ment response in the Mayo study and 6-week treat- ment response in the STAR*D replication study

Table 1 (continued)							
First author	As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
Fabbri, C.		Venlafaxine citalopram bupropion- SR	rs9296157 rs9470080 rs1043805 rs3800373 rs1360780 rs4713916 rs3800373 rs352428	Real-time PCR	Response: a decrease of at least 50% in the HDRS- 21 or the MADRS or the QIDS-C at week 4 or 6	Week 4 Week 12 Week 8	rs3800373 in ES1 the CC genotype had a high risk of treatment in FS2 and ITAS
	QDS	Sertraline escitalo pram			Remission: HDRS < 7 or MADRS < 10 or QIDS-C < 5	Week 2, 4	
Gawlik M	1	1	rs4713916 rs1360780 rs3800373	PCR TaqMan		,	rs936882 was associated with level 1 remission FKBP5 poly- morphisms and haplotypes are not associated with the inheri- tance of affective disorders

Table 1 (continued)	continu	ued)					
First author	As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
Ising, M.		SSRIs TCAs SNRIs NaSSA Other	rs1360780	Illumina Beadchip technology Real-time PCR TaqMan	Response: a reduction of the HAMD-21 score of at least 50%	Week 6	Successful antidepres- sant treatment outcomes were accompanied by reduced expres- sion of FKBP51 gene and FKBP51 protein, with the rs1360780 T allele showing a better treatment response
Kirch- heiner, J.		SSRIs mirtazapine Venlafaxine TCAs Other	rs3800373 rs1 360780	Real-time PCR TaqMan	Response: HDRS decreased by ≥ 50% at day 21	Week 3	A higher propor- tion of rs3800373 AA genotype carriers were diagnosed with bipolar disorder, and patients car- rying rs 1360780 CC genotype or rs3800373 AA genotype were poorly treated with antidepres- sants, with a closer association with venlafax- ine treatment efficacy

radie (Communeu) First As- AD author sess- ment Scale	As- As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
A. M.	QDS	citalopram	rs1360780 rs4713916 rs3800373	TaqMan	Remission: QIDS-C16≤5 C16 reduced by ≥ 50%	Week 2, 4 , 6, 9, 12 , 14 , 12	rs1360780 was significantly associated with disease status in non-Hispanic whites but not in blacks. rs1360780 and rs4713916 were strongly LD in non-Hispanic whites but not in blacks.When all races were ana- lyzed together rs4713916 was significantly associated with remission, but not with remission, but non- Hispanic whites. No correlation was detected be- tween rs3800373 s rs1360780 and antidepres- sant response
Nobile, B.	HADS	Tianeptine	rs3800373 rs7757037 rs737054 rs1360780 rs9470080 rs6902321	Real-time PCR TagMan	TESI: having a MADRS-SI score of 0 or 1 at baseline, followed by a score > 1 at least once during the follow-up TWOSI: the worsen- ing of pre-existing SI when starting a new antidepressant drug	Week 2, 4 、 6	rs6902321 TT genotype is significantly associated with treatment- triggered suicidal ideation, and no SNP is associated with TWOSI

Table 1 (continued)	(continu	ied)					
First author	As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
Papiol, S.		citalopram	rs1360780	Applied Biosystems SNaP-Shot TaqMan	Response: HDRS at 4th week ≤ 50% Remission: HDRS at 12th week ≤ 7	Week 4, 8 , 12	rs1360780 TT genotype has poor antidepres- sant response to citalopram, and FKBP5 genotype is not a predictor of treatment outcome
Perroud, N.		Paroxetine Venlafaxine clomip- ramine nefazodone	rs1360780		Increasing sui- cidal ideation: Any 1-point increase which reached a level of 2 at least on the 10th suicidal item of the MADRS	Assessed every 2 weeks for 30 weeks	Carriers of the rs1360780 T allele have a higher risk of increased suicidal ideation on treatment, and there is no association be- tween rs1360780 and drug blood levels
Sarginson, J. E.		Paroxetine mirtazapine	rs1360780 rs3800373 TaqMan	TaqMan	Remission: HDRS-17 ≤ 7 or HDRS-21 ≤ 10 Response: 50% re- duction in HDRS-21	Week 1–4 、 6、8	No asso- ciation between rs1360780, rs3800373 and clinical outcomes and no predic- tion of remission or time to

remission

Table 1 (continued)	(continu	(pər					
First author	As- sess- ment Scale	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
Stamm, T. J.		Venlafaxine Sertraline Amitriptyline Reboxetine	rs1360780	Real-time PCR TaqMan	Remission: HDRS-21 < 10	Week 2, 4 、 6, 8, 10 、 12、14	The rs1360780 TT genotype showed superior treatment re- sponse under all treatment condi- tions, and the ef- fect of genotype on treatment outcome did not differ signifi- cantly between antidepressants. Standardized, quality-con- trolled treatment can compensate to some extent dir the "genetic dior the "genetic dior the "genetic dior antage" of C-allelo corriers
Szcz- epankie- wicz, A.			rs1360780 rs755658 rs9470080 rs4713916 rs7748266 rs9296158 rs9394309 rs3800373	TagMan	·		C and C cannot a Associations be- tween rs1360780, rs9470080, rs9296158 and rs9394309 and MDD but not binolar disorder
Tsai, S. J.		Fluoxetine	rs1360780	PCR	Response: ≥50% reduction in HAMD at week 4	Week 4	No asso- ciation between rs1360780 genotype and short-term antidepres- sant treatment response and lifetime depres- sive episodes

Table 1 (continued)	(continu	ied)					
First author	As- sess- ment	AD	SNPs	Genotype method	Definition of treatment response	Assess- ment time	Results
	Scale						
Yang, C.			rs1043805 rs3800373 rs9296158 rs7748266 rs1360780 rs2766537 rs9394309 rs9470080 rs2817035	MALDI-TOF MS	TRD: CRP 0. 85 – 10 mg/L;Insufficient response to ≥ 1 antidepressants, at least 6w and adequate dose; Current antidepres-		No association of SNPs and haplotype combinations of FKBP5 with MDD or antidepres- sant treatment
					sant treatment ≥ 2w		response
Zobel, A.	HAMD	HAMD citalopram	rs3800373 rs755658	TaqMan		Week 4	rs3800373 AA,
			rs1360780 rs1334894				rs4713916 GG
			rs4713916				(related to
							clinical diagnosis)
							showed less
							reduction in
							cortisol secretion
							in the Dex/CRH
							test after 4 weeks
							of citalopram
							treatment
Note: ES: European san Research Network-Antid antidepressant treatmer of Mental Disorders, Fou	uropean : etwork-Ar ant treatn isorders, F	sample; ITAS I ntidepressant A nent resistance ourth Edition;	Note: ES: European sample, ITAS Italian sample STAR*D Sequenced Treatment Ai Research Network-Antidepressant Medication Pharmacogenomic Study; MDD: Majo antidepressant treatment resistance and increased inflammatory activity; MDNTR: m. of Mental Disorders, Fourth Edition; ICD-10: international Classification of diseases-10	quenced Treatment Alternationic Study; MDD: Major Dep ornic Study; MDD: Major Dep ory activity; MDNTR: major de ification of diseases-10	ives to Relieve Depression ressive Disorder; BD: Bipol epression without treatmer	n Mayo PGRN- ar disorder; PD nt resistance; D	Note: E5: European sample: ITAS Italian sample STAR*D Sequenced Treatment Alternatives to Relieve Depression Mayo PGRN-AMP5: Mayo Clinic Pharmacogenomics Research Network-Antidepressant Medication Pharmacogenomic Study; MDD: Major Depressive Disorder; BD: Bipolar disorder; PDD: Persistent depressive disorder; TRDI: antidepressant treatment resistance and increased inflammatory activity; MDNTR: major depression without treatment resistance; DSM-IV: Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition; ICD-10: international Classification of diseases-10
Note: AD: a Anxiety and	d Depres	sive drugs; SN sion Scale; MA	APs: single nucleotide poly ADRS: Montgomery-Asberg	g Depression Rating Scale;	on depression scale; HDR? QIDS: Quick Inventory of	Depressive S	Note: AD: antidepressive drugs: SNPs: single nucleotide polymorphisms: HAMD: Hamilton depression scale; HDRS: Hamilton Depression Rating Score; HADS: Hospital Anxiety and Depression Scale; MADRS: Montgomery-Asberg Depression Rating Scale; QIDS: Quick Inventory of Depressive Symptomatology; BDI: Beck Depression

Inventory. CDRS: Children's Depression Rating Scale; GIDS: Quick Inventory of Depression Rating Score; HADS: Hospital Enventory. CDRS: Children's Depression Rating Score; HADS: Hospital States CDRS: Control of Depression Scale; GIDS: Quick Inventory of Depressive Symptomatology: BDI: Beck Depression Rating Scale; States Scale; GIDS: Quick Inventory of Depressive Symptomatology: BDI: Beck Depression Stating Scale; HAMA: Hamilton Anxiety Scale; TESS: Treatment Emergent Symptom Scale; SSRs: selective serotonin reuptake inhibitor; TCAs; Tricyclic Antidepressive Agents: SNRIs: serotonin-norepinephrine reuptake inhibitor; Noradrenergic and specific serotonergic antidepressant; TESI: treatment-emergent suicidal ideation; TWOSI: treatment worsening of suicidal ideation; TRDI: antidepressant treatment resistance and increased inflammatory activity;

Study		Selection	Comparability	Outcome	Total Score
Yang dong	2013	**	*	*	****
Yu yan	2012	***	*	*	****
Binder, E. B.	2004	****	*	*	*****
Brent, D.	2010	**	*	*	****
Cattaneo, A.	2013	****	*	*	*****
Dam, H.	2019	***	-	*	****
Ellsworth, K. A.	2013	**	-	*	***
Fabbri, C.	2018	**	-	*	***
Gawlik M	2006	****	*	*	*****
Ising, M.	2019	***	*	*	****
Kirchheiner, J.	2008	**	-	*	***
Lekman, M.	2008	****	*	*	*****
Nobile, B.	2020	***	*	**	*****
Papiol, S.	2007	****	-	*	****
Perroud, N.	2011	**	-	**	****
Szczepankiewicz, A.	2014	****	-	*	****
Tsai, S. J.	2007	**	-	*	***
Yang, C.	2021	***	-	**	****
Zobel, A.	2010	****	**	**	*******

## Table 2 Quality assessment of NOS

Notes: In this meta-analysis, only studies with a total score of 3 or higher will be included

Table 3 Quality assessment of Modified Jadad Scale

Study		Randomization	<b>Concealment of allocation</b>	Double blinding	Withdrawals and dropouts	Total Score
Sarginson, J. E.	2010	1	1	1	1	4
Stamm, T. J.	2016	1	1	2	1	5

Notes: In this meta-analysis, only studies with a total score of 3 or higher will be included

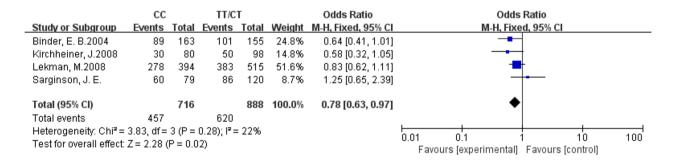


Fig. 2 The relationship between rs1360780 and treatment sensitivity in Caucasians (white) was examined, comparing the CC genotype with the TT and CT genotypes. A Forest Plot of studies illustrates this relationship

## FKBP5 gene polymorphism and antidepressant treatment sensitivity

In the present study, the most prominent SNPs (rs1360780, rs3800373, rs4713916) and antidepressants (citalopram, escitalopram, paroxetine, venlafaxine) were examined. Depression is characterized by concurrent higher FKBP5 mRNA expression and lower GR levels, resulting in GR resistance. Successful antidepressant treatment necessitates normal GR function [29, 38, 39]. Carriers of the rs1360780 T allele demonstrated enhanced treatment response under all treatment conditions in two German studies [38, 40]. Analysis of the

rs1360780 genotype and treatment response across six studies revealed no significant association in the mixed ethnicity analysis [30–32, 36, 41, 42]. However, when analyzed for Caucasians alone, patients carrying the rs1360780 T allele exhibited faster response and better efficacy to antidepressants (OR=0.78, 95%CI: 0.63–0.97, P=0.02) with low to moderate heterogeneity (I<sup>2</sup>=22%) (see Figs. 2 and 3). In the German Caucasian population, carriers of the rs3800373 C allele demonstrated a better treatment response than carriers of other genotypes in two studies [31, 32]. However, the analysis of the association between the rs3800373 genotype in four studies

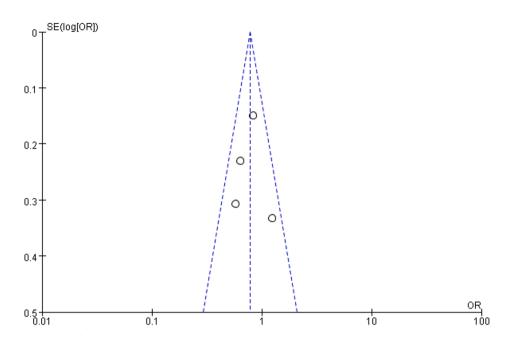


Fig. 3 The relationship between rs1360780 and treatment sensitivity in Caucasians (white) was investigated by comparing the CC genotype with the TT and CT genotypes. A Funnel Plot of the comparison is presented

[30-32, 42] and the rs4713916 genotype in two studies [30, 32] did not reveal evidence of mixed ethnicity or Caucasian association. The FKBP5 gene polymorphism did not predict treatment response to antidepressants in studies involving white European, European American, non-Hispanic white, and Chinese Han populations. Furthermore, it was not associated with the type of antidepressant used [29, 34, 36, 37, 40, 41, 43, 44]. The impact of other SNPs of the FKBP5 gene on the response to antidepressant treatment exhibited inconsistencies across studies from diverse environments and ethnicities [27, 28, 30, 35, 42, 44, 45]. None of the studies identified specific genotypes associated with successful treatment using particular antidepressants. Remission was not analyzed in this review due to the limited availability of data from only one study.

## FKBP5 gene polymorphisms and adverse effects caused by antidepressant treatment

Recent investigations into treatment-induced adverse effects have primarily concentrated on the emergence or exacerbation of suicidal ideation during antidepressant treatment. The more extensively studied loci include rs1360780 and rs3800373. Studies have demonstrated that carriers of the rs1360780 T allele face a heightened risk of experiencing increased suicidal ideation during treatment, particularly in studies involving individuals of European ancestry in the United States and Switzerland. This risk is independent of the type of antidepressant used [44, 45]. However, the relationship between other SNPs of FKBP5 and treatment-induced adverse reactions has not been consistently established across studies [17, 46].

## Haplotypes

In studies involving Caucasian and non-Hispanic white populations, rs3800373, rs1360780, and rs4713916 were identified within a haplotype block. This haplotype was associated with an increased risk of depression [28, 30, 33]. Conversely, in black and Chinese Han populations, rs1360780 and rs3800373 are part of a single block, while rs4713916 belongs to a distinct block and is not associated with susceptibility to depression or response to antidepressant treatment [30, 34, 37, 41]. However, there are no consistent findings regarding the haplotype distribution of FKBP5 gene SNPs and their association with depression susceptibility and antidepressant treatment response in other studies [26, 43].

## Linkage disequilibrium (LD)

Numerous SNPs within the FKBP5 locus exhibit high LD in various populations, encompassing Caucasian, non-Hispanic white, black, and Asian populations. Specifically, rs1360780 and rs3800373 demonstrate high LD in non-Hispanic white, black, and Chinese Han populations [30, 34, 37, 44]. In non-Hispanic white populations, rs1360780 and rs4713916 exhibit high LD, whereas this association is not observed in the black population with high LD [30]. However, there are no consistent findings regarding LD status and the relationship with depression among other SNPs across studies.

## Discussion

The primary challenge in genetic association studies lies in the replication of findings [47]. Depression is a polygenic genetic disorder with a complex genetic mechanism. A specific genetic polymorphism may exert its influence through the association of one or several other genetic polymorphisms within the same gene LD. As an illustration, the rs1360780 T allele and the rs3800373 C allele exhibit similar correlations with antidepressant response in Caucasian populations due to their high LD. Furthermore, given the complexity of how depression is inherited and the involvement of numerous genetic loci, it is plausible that genes act in concert to manifest specific clinical symptoms. Polymorphisms at a particular locus may only represent a risk factor for one or several clinical symptoms of depression [48]. Consequently, studies should delve into the interaction of multiple genes.

Inconsistent treatment responses may arise from the presence of LD in the gene under investigation and a functional mutation influencing the efficacy of antidepressants. The extent of LD varies across ethnic groups, leading to inconsistent results in studies conducted across different populations. Alleles are distributed at different frequencies in distinct ethnic groups. For instance, the rs3800373 (G/T) and rs1360780 (C/T) alleles exhibit varied frequencies in Chinese Han, Caucasian, and Black populations. Additionally, rs4713916 has a lower mutation rate in Asian populations, making it less frequently studied in Asian populations. Furthermore, diverse enrollment criteria, efficacy assessment methods, and selected drugs can impact study results. Additionally, environmental factors (and associated epigenetic modifications), drug-drug interactions, hepatic and renal impairment, and compliance issues all play crucial roles in effective prescribing [49]. Pharmacogenetic studies have explored polymorphisms in several candidate genes (including SLC6A4, HTR2A, CYP2C19, CYP2D6, ABCB1, and FKBP5) for guiding antidepressant treatment versus standard treatment, yielding intriguing results [50]. Virtually all antidepressant pharmacogenetic variants exhibit potential pleiotropic effects associated with major depressive disorder, intermediate phenotypes of emotional processes, and brain areas affected by antidepressant treatment [51]. Pharmacogenetics may also contribute to inconsistent treatment responses.

Elevated suicidal ideation could result from treatmentrelated side effects or a lack of improvement in depressive symptoms due to the poor efficacy of antidepressants. Moreover, in the absence of placebo-controlled data, it cannot be excluded that the observed association of FKBP5 gene polymorphisms with antidepressant response is potentially a pharmacogenetic effect or is linked to patients being in different phases of depressive episodes. Several SNPs within the FKBP5 locus pose challenges in identifying polymorphisms with a singular pathogenic function due to high LD. Additionally, studies exclusively genetically analyzed subjects from their specific regional lineage, substantially diminishing the reproducibility of the findings. Furthermore, certain epigenetic mechanisms can induce alterations at the level of crucial molecules, consequently diminishing the reproducibility of the findings. As an illustration, chronic stress is linked to the hypomethylation of FKBP5. Reduced methylation of FKBP5 results in the upregulation of FK506-binding protein 51, inhibiting the binding of glucocorticoids to GR. This ultimately leads to the inhibition of negative feedback regulation of the HPA axis [52].

While these 21 studies all investigate FKBP5 gene polymorphisms in relation to genetic susceptibility and treatment response to depression, substantial heterogeneity exists in terms of study subjects, design, depression typing, assessment criteria, and antidepressant type. This heterogeneity not only poses challenges for quantitative analysis between the findings but also contributes to inconsistent results. Firstly, the study subjects exhibited diverse gender and age distributions as well as varying ethnicities. Secondly, there were disparities in clinical variables, including depression typing, severity, antidepressant strategy, duration of treatment, and response and remission criteria across studies. The majority of studies permitted patients to receive antidepressant treatment in a natural setting without intervention in treatment strategies, and in some studies, the inclusion of mood stabilizers, antipsychotics, and psychotherapy further complicates the interpretation of results. These studies share several common limitations. Firstly, a common limitation is the small sample size in most studies. Secondly, there is heterogeneity in both the study samples and treatment protocols. Thirdly, many studies lacked control groups, and even those that included controls exhibited substantial heterogeneity in the age and gender distribution of their control groups, leading to a lack of comparability. Especially in studies on suicidal events, the absence of control groups renders it impossible to ascertain whether suicidal events are linked to diagnosis and treatment, whether the emergence or exacerbation of suicidal ideation is attributable to medication, or whether the disease remains unremitted due to inadequate medication doses. Fourthly, these studies predominantly employed peripheral blood samples to analyze FKBP5 gene expression, potentially inadequately reflecting FKBP5 expression in the pituitary or brain.

Limitations of this review include the following: Firstly, genotype data on treatment response were available for only six of these 21 studies, and the absence of genotype data on included polymorphisms may introduce bias. Secondly, only dichotomous outcomes were assessed, and not symptom improvement. Thirdly, possible sources of heterogeneity for inclusion in the studies were not sufficiently examined, and potential confounders were not adjusted for.

## Conclusions

Genetic and molecular studies have advanced our understanding of the biological basis of depression, and findings from neurobiological studies have contributed to enhancing the clinical outcomes of depressed patients [53]. Numerous associations between genes and various phenotypes of depression have been identified [54]. Susceptibility to depression is influenced by the function of multiple genes and their interactions with each other and various environmental factors, with potential impacts from certain epigenetic changes [52]. The demethylation of FKBP5 polymorphisms (rs1360780, rs3800373, rs9470080, and rs4713916) following childhood trauma leads to heightened HPA axis sensitization and a susceptibility to developing MDD [55]. In this review, the 21 studies broadly identified six SNPs (rs3800373, rs1360780, rs9470080, rs4713916, rs9296158, and rs9394309) that may be linked to susceptibility to depression. Additionally, rs1360780 and rs3800373 were associated with antidepressant treatment sensitivity, and rs1360780 was linked to adverse effects induced by antidepressant treatment. However, in most cases, these associations could not be replicated in subsequent studies. While genetics plays a role in the etiology of depression, findings from identical twin studies reveal substantial variability, suggesting the involvement of nongenetic factors [56]. The FKBP5 gene serves as a crucial modulator of HPA axis reactivity and holds a key position in influencing the risk of stress-related disorders. This suggests the potential for studying the FKBP5 gene in relation to depression, especially in subpopulations such as those who have experienced childhood trauma. With the appropriate study population, significant associations are likely to be discovered. Unfortunately, research on the neuroendocrine system-related candidate gene FKBP5 in mood disorders is currently highly limited, highlighting the need for further studies in this area.

## List of abbreviations

SNPs	single nucleotide polymorphisms
GR	glucocorticoid receptor
Hsp90	heat shock protein 90
TPR	tetratricopeptide repeat protein
HPA	hypothalamic-pituitary-adrenal
MDD	Major Depressive Disorder
HAMD	Hamilton depression scale
QIDS	Quick Inventory of Depressive Symptomatology
LD	Linkage disequilibrium
Author contributions	

WY, JL, and YZ conceived and designed the study. YZ screened and selected articles, extracted data, evaluated the risk of bias, conducted further analysis, and interpreted the data, contributing to the drafting of this manuscript.WY

## have read and approved the final manuscript.

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#### Data availability

All data generated or analyzed during this study are included in this published article.

### Declarations

**Ethics approval and consent to participate** Not applicable.

#### **Consent for publication**

Not applicable.

## Competing interests

The authors declare no competing interests.

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